Dear Employer:

This booklet includes the forms needed for maintaining occupational injury and illness records. Many but not all employers must complete the OSHA injury and illness recordkeeping forms on an ongoing basis. Employers in State Plan States should check with their State Plan to see if the exemptions below apply.

Employers with 10 or fewer employees throughout the previous calendar year do not need to complete these forms. In addition to the small employer exemption, there is an exemption for establishments classified in certain industries. A complete list of exempt industries can be found on the OSHA web page at www.osha.gov.

Establishments normally exempt from keeping the OSHA forms must complete the forms if they are informed in writing to do so by the Bureau of Labor Statistics or OSHA.

ALSO: OSHA updated its recordkeeping rule to expand the list of severe injuries that employers must report directly to OSHA, regardless of the above exemptions. As of January 1, 2015, all employers must report:
1. All work-related fatalities within 8 hours.
2. All work-related in-patient hospitalizations, all amputations and all losses of an eye within 24 hours.

You can report to OSHA by:
1. Calling OSHA’s free and confidential number at 1-800-321-OSHA (6742).
2. Calling your closest Area Office during normal business hours.
3. Using the new online form that will soon be available.

Only fatalities occurring within 30 days of the work-related incident must be reported to OSHA. Further, for an in-patient hospitalization, amputation or loss of an eye, these incidents must be reported to OSHA only if they occur within 24 hours of the work-related incident.

The Occupational Safety and Health Administration shares with you the goal of preventing injuries and illnesses in our nation’s workplaces. Accurate injury and illness records will help us achieve that goal.

Occupational Safety and Health Administration
U.S. Department of Labor

What’s Inside...

In this package, you’ll find everything you need to complete OSHA’s Log and the Summary of Work-Related Injuries and Illnesses for the next several years. On the following pages, you’ll find:

▼ An Overview: Recording Work-Related Injuries and Illnesses — General instructions for filling out the forms in this package and definitions of terms you should use when you classify your cases as injuries or illnesses.

▼ How to Fill Out the Log — An example to guide you in filling out the Log properly.

▼ Log of Work-Related Injuries and Illnesses — Several pages of the Log (but you may make as many copies of the Log as you need.) Notice that the Log is separate from the Summary.

▼ Summary of Work-Related Injuries and Illnesses — Removable Summary pages for easy posting at the end of the year. Note that you post the Summary only, not the Log.

▼ Worksheet to Help You Fill Out the Summary — A worksheet for figuring the average number of employees who worked for your establishment and the total number of hours worked.

▼ OSHA’s 301: Injury and Illness Incident Report — A copy of the OSHA 301 to provide details about the incident. You may make as many copies as you need or use an equivalent form.

Take a few minutes to review this package. If you have any questions, visit us online at www.osha.gov or call your local OSHA office. We’ll be happy to help you.
An Overview:
Recording Work-Related Injuries and Illnesses

The Occupational Safety and Health (OSH) Act of 1970 requires certain employers to prepare and maintain records of work-related injuries and illnesses. Use these definitions when you classify cases on the Log. OSHA’s recordkeeping regulation (see 29 CFR Part 1904) provides more information about the definitions below.

The Log of Work-Related Injuries and Illnesses (Form 300) is used to classify work-related injuries and illnesses and to note the extent and severity of each case. When an incident occurs, use the Log to record specific details about what happened and how it happened. The Summary — a separate form (Form 300A) — shows the totals for the year in each category. At the end of the year, post the Summary in a visible location so that your employees are aware of the injuries and illnesses occurring in their workplace.

Employers must keep a Log for each establishment or site. If you have more than one establishment, you must keep a separate Log and Summary for each physical location that is expected to be in operation for one year or longer.

Note that your employees have the right to review your injury and illness records. For more information, see 29 Code of Federal Regulations Part 1904.35, Employee Involvement.

Cases listed on the Log of Work-Related Injuries and Illnesses are not necessarily eligible for workers’ compensation or other insurance benefits. Listing a case on the Log does not mean that the employer or worker was at fault or that an OSHA standard was violated.

When is an injury or illness considered work-related?
An injury or illness is considered work-related if an event or exposure in the work environment caused or contributed to the condition or significantly aggravated a preexisting condition. Work-relatedness is presumed for injuries and illnesses resulting from events or exposures occurring in the workplace, unless an exception specifically applies. See 29 CFR Part 1904.5(b)(2) for the exceptions. The work environment includes the establishment and other locations where one or more employees are working or are present as a condition of their employment. See 29 CFR Part 1904.5(b)(1).

What work-related injuries and illnesses should you record?
Record those work-related injuries and illnesses that result in:
- death,
- loss of consciousness,
- days away from work,
- restricted work activity or job transfer, or
- medical treatment beyond first aid.

You must also record work-related injuries and illnesses that are significant (as defined below) or meet any of the additional criteria listed below.

You must record any significant work-related injury or illness that is diagnosed by a physician or other licensed health care professional. You must record any work-related case involving cancer, chronic irreversible disease, a fractured or cracked bone, or a punctured eardrum. See 29 CFR 1904.7.

What are the additional criteria?
You must record the following conditions when they are work-related:
- any needlestick injury or cut from a sharp object that is contaminated with another person’s blood or other potentially infectious material;
- any case requiring an employee to be medically removed under the requirements of an OSHA health standard;
- tuberculosis infection as evidenced by a positive skin test or diagnosis by a physician or other licensed health care professional after exposure to a known case of active tuberculosis;
- an employee’s hearing test (audiogram) reveals 1) that the employee has experienced a Standard Threshold Shift (STS) in hearing in one or both ears (averaged at 2000, 3000, and 4000 Hz) and 2) the employee’s total hearing level is 25 decibels (dB) or more above audiometric zero (also averaged at 2000, 3000, and 4000 Hz) in the same ear(s) as the STS.

What is medical treatment?
Medical treatment includes managing and caring for a patient for the purpose of combating disease or disorder. The following are not considered medical treatments and are NOT recordable:
- visits to a doctor or health care professional solely for observation or counseling;
- any case involving cancer, chronic irreversible disease, a fractured or cracked bone, or a punctured eardrum.

How to work with the Log

1. Within 7 calendar days after you receive information about a case, decide if the case is recordable under the OSHA recordkeeping requirements.
2. Determine whether the incident is a new case or a recurrence of an existing one.
3. Establish whether the case was work-related.
4. If the case is recordable, decide which form you will fill out as the injury and illness incident report.
   You may use OSHA’s 301: Injury and Illness Incident Report or an equivalent form. Some state workers compensation, insurance, or other reports may be acceptable substitutes, as long as they provide the same information as the OSHA 301.

1. Identify the employee involved unless it is a privacy concern case as described below.
2. Identify when and where the case occurred.
3. Describe the case, as specifically as you can.
4. Classify the seriousness of the case by recording the most serious outcome associated with the case, with column G (Death) being the most serious and column J (Other recordable cases) being the least serious.
5. Identify whether the case is an injury or illness. If the case is an injury, check the injury category. If the case is an illness, check the appropriate illness category.
diagnostic procedures, including administering prescription medications that are used solely for diagnostic purposes; and
a procedure that can be labeled first aid. (See below for more information about first aid.)

What is first aid?
If the incident required only the following types of treatment, consider it first aid. Do NOT record the case if it involves only:
- using non-prescription medications at non-prescription strength;
- administering tetanus immunizations;
- cleaning, flushing, or soaking wounds on the skin surface;
- using wound coverings, such as bandages, BandAids™, gauze pads, etc., or using SteriStrips™ or butterfly bandages;
- using hot or cold therapy;
- using any totally non-rigid means of support, such as elastic bandages, wraps, non-rigid back belts, etc.;
- using temporary immobilization devices while transporting an accident victim (splints, slings, neck collars, or back boards);
- drilling a fingernail or toenail to relieve pressure, or draining fluids from blisters;
- using eye patches;
- using simple irrigation or a cotton swab to remove foreign bodies not embedded in or adhered to the eye;
- using irrigation, tweezers, cotton swab or other simple means to remove splinters or foreign material from areas other than the eye;
- using finger guards;
- using massages;
- drinking fluids to relieve heat stress.

How do you decide if the case involved restricted work?
Restricted work activity occurs when, as the result of a work-related injury or illness, an employer or health care professional keeps, or recommends keeping, an employee from doing the routine functions of his or her job or from working the full workday that the employee would have been scheduled to work before the injury or illness occurred.

How do you count the number of days of restricted work activity or the number of days away from work?
Count the number of calendar days the employee was on restricted work activity or was away from work as a result of the recordable injury or illness. Do not count the day on which the injury or illness occurred in this number. Begin counting days from the day after the incident occurs. If a single injury or illness involved both days away from work and days of restricted work activity, enter the total number of days for each. You may stop counting days of restricted work activity or days away from work once the total of either or the combination of both reaches 180 days.

Under what circumstances should you NOT enter the employee’s name on the OSHA Form 300?
You must consider the following types of injuries or illnesses to be privacy concern cases:
- an injury or illness to an intimate body part or to the reproductive system,
- an injury or illness resulting from a sexual assault,
- a mental illness,
- a case of HIV infection, hepatitis, or tuberculosis,
- a needlestick injury or cut from a sharp object that is contaminated with blood or other potentially infectious material (see 29 CFR Part 1904.8 for definition), and
- other illnesses, if the employee independently and voluntarily requests that his or her name not be entered on the log.

You must not enter the employee’s name on the OSHA 300 Log for these cases. Instead, enter “privacy case” in the space normally used for the employee’s name. You must keep a separate, confidential list of the case numbers and employee names for the establishment’s privacy concern cases so that you can update the cases and provide information to the government if asked to do so.

If you have a reasonable basis to believe that information describing the privacy concern case may be personally identifiable even though the employee’s name has been omitted, you may use discretion in describing the injury or illness on both the OSHA 300 and 301 forms. You must enter enough information to identify the cause of the incident and the general severity of the injury or illness, but you do not need to include details of an intimate or private nature.

What if the outcome changes after you record the case?
If the outcome or extent of an injury or illness changes after you have recorded the case, simply draw a line through the original entry or, if you wish, delete or white-out the original entry. Then write the new entry where it belongs. Remember, you need to record the most serious outcome for each case.

Classifying injuries
An injury is any wound or damage to the body resulting from an event in the work environment.

Examples: Cut, puncture, laceration, abrasion, fracture, bruise, contusion, chipped tooth, amputation, insect bite, electrocution, or a thermal, chemical, electrical, or radiation burn. Sprain and strain injuries to muscles, joints, and connective tissues are classified as injuries when they result from a slip, trip, fall or other similar accidents.
Classifying illnesses

Skin diseases or disorders
Skin diseases or disorders are illnesses involving the worker’s skin that are caused by work exposure to chemicals, plants, or other substances.

Examples: Contact dermatitis, eczema, or rash caused by primary irritants and sensitizers or poisonous plants; oil acne; friction blisters, chrome ulcers; inflammation of the skin.

Respiratory conditions
Respiratory conditions are illnesses associated with breathing hazardous biological agents, chemicals, dust, gases, vapors, or fumes at work.

Examples: Silicosis, asbestosis, pneumonitis, pharyngitis, rhinitis or acute congestion; farmer’s lung, beryllium disease, tuberculosis, occupational asthma, reactive airways dysfunction syndrome (RADS), chronic obstructive pulmonary disease (COPD), hypersensitivity pneumonitis, toxic inhalation injury, such as metal fume fever, chronic obstructive bronchitis, and other pneumoconioses.

Poisoning
Poisoning includes disorders evidenced by abnormal concentrations of toxic substances in blood, other tissues, other bodily fluids, or the breath that are caused by the ingestion or absorption of toxic substances into the body.

Examples: Poisoning by lead, mercury, cadmium, arsenic, or other metals; poisoning by carbon monoxide, hydrogen sulfide, or other gases; poisoning by benzene, benzol, carbon tetrachloride, or other organic solvents; poisoning by insecticide sprays, such as parathion or lead arsenate; poisoning by other chemicals, such as formaldehyde.

Hearing Loss
Noise-induced hearing loss is defined for recordkeeping purposes as a change in hearing threshold relative to the baseline audiogram of an average of 10 dB or more in either ear at 2000, 3000 and 4000 hertz, and the employee’s total hearing level is 25 decibels (dB) or more above audiometric zero (also averaged at 2000, 3000, and 4000 hertz) in the same ear(s).

All other illnesses
All other occupational illnesses.

Examples: Heatstroke, sunstroke, heat exhaustion, heat stress and other effects of environmental heat; freezing, frostbite, and other effects of exposure to low temperatures; decompression sickness; effects of ionizing radiation (isotopes, x-rays, radium); effects of nonionizing radiation (welding flash, ultra-violet rays, lasers); anthrax; bloodborne pathogenic diseases, such as AIDS, HIV, hepatitis B or hepatitis C: brucellosis; malignant or benign tumors; histoplasmosis; coccidioidomycosis.

When must you post the Summary?
You must post the Summary only — not the Log — by February 1 of the year following the year covered by the form and keep it posted until April 30 of that year.

How long must you keep the Log and Summary on file?
You must keep the Log and Summary for 5 years following the year to which they pertain.

Do you have to send these forms to OSHA at the end of the year?
No. You do not have to send the completed forms to OSHA unless specifically asked to do so.

How can we help you?
If you have a question about how to fill out the Log,
▼ visit us online at www.osha.gov or
▼ call your local OSHA office.
What is an incidence rate?
An incidence rate is the number of recordable injuries and illnesses occurring among a given number of full-time workers (usually 100 full-time workers) over a given period of time (usually one year). To evaluate your firm’s injury and illness experience over time or to compare your firm’s experience with that of your industry as a whole, you need to compute your incidence rate. Because a specific number of workers and a specific period of time are involved, these rates can help you identify problems in your workplace and/or progress you may have made in preventing work-related injuries and illnesses.

How do you calculate an incidence rate?
You can compute an occupational injury and illness incidence rate for all recordable cases or for cases that involved days away from work for your firm quickly and easily. The formula requires that you follow instructions in paragraph (a) below for the total recordable cases or those in paragraph (b) for cases that involved days away from work, and for both rates the instructions in paragraph (c).

(a) To find out the total number of recordable injuries and illnesses that occurred during the year, refer to OSHA Form 300A and optional worksheet to calculate this number.

You can compute the incidence rate for all recordable cases of injuries and illnesses using the following formula:

\[
\text{Total number of injuries and illnesses} \times 200,000 \div \text{Number of hours worked by all employees} = \text{Total recordable case rate}
\]

The 200,000 figure in the formula represents the number of hours 100 employees working 40 hours per week, 50 weeks per year would work, and provides the standard base for calculating incidence rates.

You can compute the incidence rate for recordable cases involving days away from work, days of restricted work activity or job transfer (DART) using the following formula:

\[
(\text{Number of entries in column H} + \text{Number of entries in column I}) \times 200,000 \div \text{Number of hours worked by all employees} = \text{DART incidence rate}
\]

You can use the same formula to calculate incidence rates for other variables such as cases involving restricted work activity (column (I) on Form 300A), cases involving skin disorders (column (M-2) on Form 300A), etc. Just substitute the appropriate total for these cases, from Form 300A, into the formula in place of the total number of injuries and illnesses.

What can I compare my incidence rate to?
The Bureau of Labor Statistics (BLS) conducts a survey of occupational injuries and illnesses each year and publishes incidence rate data by various classifications (e.g., by industry, by employer size, etc.). You can obtain these published data at www.bls.gov/iif or by calling a BLS Regional Office.

Optional
Calculating Injury and Illness Incidence Rates

(c) The number of hours all employees actually worked during the year. Refer to OSHA Form 300A and optional worksheet to calculate this number.

You can compute the incidence rate for all recordable cases of injuries and illnesses using the following formula:

\[
\text{Total number of injuries and illnesses} \times 200,000 \div \text{Number of hours worked by all employees} = \text{Total recordable case rate}
\]

The 200,000 figure in the formula represents the number of hours 100 employees working 40 hours per week, 50 weeks per year would work, and provides the standard base for calculating incidence rates.

You can compute the incidence rate for recordable cases involving days away from work, days of restricted work activity or job transfer (DART) using the following formula:

\[(\text{Number of entries in column H} + \text{Number of entries in column I}) \times 200,000 \div \text{Number of hours worked by all employees} = \text{DART incidence rate}\]

You can use the same formula to calculate incidence rates for other variables such as cases involving restricted work activity (column (I) on Form 300A), cases involving skin disorders (column (M-2) on Form 300A), etc. Just substitute the appropriate total for these cases, from Form 300A, into the formula in place of the total number of injuries and illnesses.

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Note: You can type input into this form and save it. Because the forms in this recordkeeping package are “fillable/writable” PDF documents, you can type into the input form fields and then save your inputs using the free Adobe PDF Reader. In addition, the forms are programmed to auto-calculate as appropriate.
How to Fill Out the Log

The Log of Work-Related Injuries and Illnesses is used to classify work-related injuries and illnesses and to note the extent and severity of each case. When an incident occurs, use the Log to record specific details about what happened and how it happened.

If your company has more than one establishment or site, you must keep separate records for each physical location that is expected to remain in operation for one year or longer.

If you need additional copies of the Log, you may photocopy the printout or insert additional form pages in the PDF, and then use as many as you need.

The Summary—a separate form—shows the work-related injury and illness totals for the year in each category. At the end of the year, count the number of incidents in each category and transfer the totals from the Log to the Summary. Then post the Summary in a visible location so that your employees are aware of injuries and illnesses occurring in their workplace.

You don't post the Log. You post only the Summary at the end of the year.

---

### OSHA's Form 300

<table>
<thead>
<tr>
<th>Case</th>
<th>Employee's name</th>
<th>Job title</th>
<th>Date of injury or illness</th>
<th>When the injury or illness occurred</th>
<th>In which body part or body area did the injury or illness occur?</th>
</tr>
</thead>
<tbody>
<tr>
<td>001</td>
<td>John Doe</td>
<td>Worker</td>
<td>12/25/2020</td>
<td>3:00 PM</td>
<td>Right hand</td>
</tr>
<tr>
<td>002</td>
<td>Jane Smith</td>
<td>Supervisor</td>
<td>12/24/2020</td>
<td>9:00 AM</td>
<td>Left arm</td>
</tr>
<tr>
<td>003</td>
<td>Bob Johnson</td>
<td>Mechanic</td>
<td>12/23/2020</td>
<td>1:30 PM</td>
<td>Back</td>
</tr>
</tbody>
</table>

**Note:** Because the forms in this recordkeeping package are "fillable/writable" PDF documents, you can type into the input form fields and then save your inputs using the free Adobe PDF Reader. In addition, the forms are programmed to auto-calculate as appropriate.
OSHA’s Form 300 (Rev. 01/2004)

Log of Work-Related Injuries and Illnesses

You must record information about every work-related death and about every work-related injury or illness that involves loss of consciousness, restricted work activity or job transfer, days away from work, or medical treatment beyond first aid. You must also record significant work-related injuries and illnesses that are diagnosed by a physician or licensed health care professional. You must also record work-related injuries and illnesses that meet any of the specific recording criteria listed in 29 CFR Part 1904. You must complete an Injury and Illness Incident Report (OSHA Form 301) or equivalent form for each injury or illness recorded on this form. If you’re not sure whether a case is recordable, call your local OSHA office for help.

Identify the person

<table>
<thead>
<tr>
<th>Case no.</th>
<th>Employee’s name</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A)</td>
<td>(B)</td>
</tr>
</tbody>
</table>

Describe the case

<table>
<thead>
<tr>
<th>Date of injury or onset of illness (e.g., 2/18)</th>
<th>Where the event occurred (e.g., Loading dock north end)</th>
<th>Describe injury or illness, parts of body affected, and object/substance that directly injured or made person ill (e.g., Second degree burns on right forearm from acetylene torch)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(C)</td>
<td>(D)</td>
<td>(E)</td>
</tr>
</tbody>
</table>

Classify the case

SELECT ONLY ONE box for each case based on the most serious outcome for that case:

<table>
<thead>
<tr>
<th>Death</th>
<th>Days away from work</th>
<th>Job transfer or restriction</th>
<th>Other recordable cases</th>
<th>Remained at Work</th>
</tr>
</thead>
<tbody>
<tr>
<td>(F)</td>
<td>(G)</td>
<td>(H)</td>
<td>(I)</td>
<td>(J)</td>
</tr>
</tbody>
</table>

Enter the number of days the injured or ill worker was:

<table>
<thead>
<tr>
<th>Away from work</th>
<th>On job transfer or restriction</th>
</tr>
</thead>
<tbody>
<tr>
<td>(K)</td>
<td>(L)</td>
</tr>
</tbody>
</table>

Select the “Injury” column or choose one type of illness:

<table>
<thead>
<tr>
<th>Injury</th>
<th>Skin disorder</th>
<th>Respiratory condition</th>
<th>Poisoning</th>
<th>Hearing loss</th>
<th>All other illnesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>(M)</td>
<td>(1)</td>
<td>(2)</td>
<td>(3)</td>
<td>(4)</td>
<td>(5)</td>
</tr>
</tbody>
</table>

Public reporting burden for this collection of information is estimated to average 14 minutes per response, including time to review the instructions, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any other aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistical Analysis, Room N-3644, 200 Constitution Avenue, NW, Washington, DC 20210. Do not send the completed forms to this office.

Save Input  Add a Form Page
# OSHA’s Form 300A

## Summary of Work-Related Injuries and Illnesses

All establishments covered by Part 1904 must complete this Summary page, even if no work-related injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete and accurate before completing this summary.

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you’ve added the entries from every page of the Log. If you had no cases, write “0.”

Employee representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR Part 1904.35, in OSHA’s recordkeeping rule, for further details on the access provisions for these forms.

### Establishment information

Your establishment name

Street ____________________________

City ____________________________ State _______ Zip _______

Industry description (e.g., Manufacture of motor truck trailers) ____________________________

Standard Industrial Classification (SIC), if known (e.g., 3715) _______

OR ____________________________

North American Industrial Classification (NAICS), if known (e.g., 336212) _______

### Employment information

(If you don’t have these figures, see the Worksheet on the next page to estimate.)

Annual average number of employees _______

Total hours worked by all employees last year _______

### Injury and Illness Types

<table>
<thead>
<tr>
<th>Injury and Illness Types</th>
<th>(M)</th>
<th>(N)</th>
<th>(O)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total number of injuries</td>
<td>______</td>
<td>______</td>
<td>______</td>
</tr>
<tr>
<td>Poissons</td>
<td>______</td>
<td>______</td>
<td>______</td>
</tr>
<tr>
<td>Skin disorders</td>
<td>______</td>
<td>______</td>
<td>______</td>
</tr>
<tr>
<td>Hearing loss</td>
<td>______</td>
<td>______</td>
<td>______</td>
</tr>
<tr>
<td>Respiratory conditions</td>
<td>______</td>
<td>______</td>
<td>______</td>
</tr>
<tr>
<td>All other illnesses</td>
<td>______</td>
<td>______</td>
<td>______</td>
</tr>
</tbody>
</table>

**Note:** You can type input into this form and save it. Because the forms in this recordkeeping package are “fillable/writable” PDF documents, you can type into the input form fields and then save your inputs using the free Adobe PDF Reader.

**Save Input**
At the end of the year, OSHA requires you to enter the average number of employees and the total hours worked by your employees on the summary. If you don’t have these figures, you can use the information on this page to estimate the numbers you will need to enter on the Summary page at the end of the year.

For example, Acme Construction figured its average employment this way:

<table>
<thead>
<tr>
<th>Pay Period</th>
<th>Employees</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>10</td>
</tr>
<tr>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>15</td>
</tr>
<tr>
<td>4</td>
<td>30</td>
</tr>
<tr>
<td>5</td>
<td>40</td>
</tr>
<tr>
<td>▼</td>
<td>▼</td>
</tr>
<tr>
<td>24</td>
<td>20</td>
</tr>
<tr>
<td>25</td>
<td>15</td>
</tr>
<tr>
<td>26</td>
<td>▼</td>
</tr>
</tbody>
</table>

How to figure the average number of employees who worked for your establishment during the year:

1. **Add** the total number of employees your establishment paid in all pay periods during the year. Include all employees: full-time, part-time, temporary, seasonal, salaried, and hourly.

   \[
   \text{The number of employees paid in all pay periods} = \boxed{\text{1}}
   \]

2. **Count** the number of pay periods your establishment had during the year. Be sure to include any pay periods when you had no employees.

   \[
   \text{The number of pay periods during the year} = \boxed{\text{2}}
   \]

3. **Divide** the number of employees by the number of pay periods.

   \[
   \frac{\text{1}}{\text{2}} = \boxed{\text{3}}
   \]

4. **Round the answer** to the next highest whole number. Write the rounded number in the blank marked Annual average number of employees.

   \[
   \text{The number rounded} = \boxed{\text{4}}
   \]

For example, Acme Construction figured its average employment this way:

<table>
<thead>
<tr>
<th>Pay Period</th>
<th>Employees</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>10</td>
</tr>
<tr>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>15</td>
</tr>
<tr>
<td>4</td>
<td>30</td>
</tr>
<tr>
<td>5</td>
<td>40</td>
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<tr>
<td>24</td>
<td>20</td>
</tr>
<tr>
<td>25</td>
<td>15</td>
</tr>
<tr>
<td>26</td>
<td>▼</td>
</tr>
</tbody>
</table>

\[
\text{Number of employees paid} = 830
\]

\[
\text{Number of pay periods} = 26
\]

\[
\frac{830}{26} = 31.92
\]

31.92 rounds to 32

32 is the annual average number of employees

How to figure the total hours worked by all employees:

Include hours worked by salaried, hourly, part-time and seasonal workers, as well as hours worked by other workers subject to day to day supervision by your establishment (e.g., temporary help services workers).

Do not include vacation, sick leave, holidays, or any other non-work time, even if employees were paid for it. If your establishment keeps records of only the hours paid or if you have employees who are not paid by the hour, please estimate the hours that the employees actually worked.

If this number isn’t available, you can use this optional worksheet to estimate it.

Optional Worksheet

- **Find** the number of full-time employees in your establishment for the year.

\[
\text{Find} \quad \boxed{\text{5}}
\]

- **Multiply** by the number of work hours for a full-time employee in a year.

\[
\times \quad \boxed{\text{6}}
\]

- **This is the number of full-time hours worked.**

\[
\boxed{\text{7}}
\]

- **Add** the number of any overtime hours as well as the hours worked by other employees (part-time, temporary, seasonal)

\[
+ \quad \boxed{\text{8}}
\]

- **Round the answer** to the next highest whole number. Write the rounded number in the blank marked Total hours worked by all employees last year.

\[
\boxed{\text{9}}
\]
This *Injury and Illness Incident Report* is one of the first forms you must fill out when a recordable work-related injury or illness has occurred. Together with the *Log of Work-Related Injuries and Illnesses* and the accompanying *Summary*, these forms help the employer and OSHA develop a picture of the extent and severity of work-related incidents.

Within 7 calendar days after you receive information that a recordable work-related injury or illness has occurred, you must fill out this form or an equivalent. Some state workers’ compensation, insurance, or other reports may be acceptable substitutes. To be considered an equivalent form, any substitute must contain all the information asked for on this form.

According to Public Law 91-596 and 29 CFR 1904, OSHA’s recordkeeping rule, you must keep this form on file for 5 years following the year to which it pertains.

If you need additional copies of this form, you may photocopy the printout or insert additional form pages in the PDF, and then use as many as you need.

<table>
<thead>
<tr>
<th>Information about the employee</th>
<th>Information about the case</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) Full name</td>
<td>10) Case number from the Log</td>
</tr>
<tr>
<td>2) Street</td>
<td>(Transfer the case number from the Log after you record the case.)</td>
</tr>
<tr>
<td>3) City ________________________</td>
<td>11) Date of injury illness</td>
</tr>
<tr>
<td></td>
<td>Month</td>
</tr>
<tr>
<td></td>
<td>Day</td>
</tr>
<tr>
<td></td>
<td>Year</td>
</tr>
<tr>
<td>4) Date of birth <em><strong>/</strong></em>/_____</td>
<td>12) Time employee began work</td>
</tr>
<tr>
<td></td>
<td>AM  PM</td>
</tr>
<tr>
<td>5) Date hired</td>
<td>13) Time of event <em><strong>/</strong></em>/_____</td>
</tr>
<tr>
<td>Male <em><strong>/</strong></em>/_____</td>
<td>O AM  O PM</td>
</tr>
<tr>
<td>Female <em><strong>/</strong></em>/_____</td>
<td>Check if time cannot be determined</td>
</tr>
<tr>
<td>6) Name of physician or other health care professional</td>
<td></td>
</tr>
<tr>
<td></td>
<td>14) What was the employee doing just before the incident occurred? Describe the activity, as well as the tools, equipment, or material the employee was using. Be specific. <em>Examples:</em> “climbing a ladder while carrying roofing materials”; “spraying chlorine from hand sprayer”; “daily computer key-entry.”</td>
</tr>
<tr>
<td>7) If treatment was given away from the worksite, where was it given?</td>
<td></td>
</tr>
<tr>
<td>Facility ________________________</td>
<td></td>
</tr>
<tr>
<td></td>
<td>15) What Happened? Tell us how the injury occurred. <em>Examples:</em> “When ladder slipped on wet floor, worker fell 20 feet”; “Worker was sprayed with chlorine when gasket broke during replacement”; “Worker developed soreness in wrist over time.”</td>
</tr>
<tr>
<td></td>
<td>16) What was the injury or illness? Tell us the part of the body that was affected and how it was affected; be more specific than “hurt,” “pain,” or “sore.” <em>Examples:</em> “strained back”; “chemical burn, hand”; “carpal tunnel syndrome.”</td>
</tr>
<tr>
<td>Facility ________________________</td>
<td></td>
</tr>
<tr>
<td></td>
<td>17) What object or substance directly harmed the employee? <em>Examples:</em> “concrete floor”; “chlorine”; “radial arm saw.” If this question does not apply to the incident, leave it blank.</td>
</tr>
<tr>
<td>8) Was employee treated in an emergency room?</td>
<td></td>
</tr>
<tr>
<td>Yes <em><strong>/</strong></em>/_____</td>
<td>18) If the employee died, when did death occur?</td>
</tr>
<tr>
<td>No <em><strong>/</strong></em>/_____</td>
<td>Date of death Month Day Year</td>
</tr>
<tr>
<td>9) Was employee hospitalized overnight as an in-patient?</td>
<td></td>
</tr>
<tr>
<td>Yes <em><strong>/</strong></em>/_____</td>
<td>Month Day Year</td>
</tr>
<tr>
<td>No <em><strong>/</strong></em>/_____</td>
<td>Add a Form Page</td>
</tr>
</tbody>
</table>
If You Need Help…

If you need help deciding whether a case is recordable, or if you have questions about the information in this package, feel free to contact us. We'll gladly answer any questions you have.

- Visit us online at www.osha.gov
- Call your OSHA Regional office and ask for the recordkeeping coordinator
- Call your State Plan office

<table>
<thead>
<tr>
<th>Federal Jurisdiction</th>
<th>State Plan States</th>
</tr>
</thead>
</table>
| **Region 1 - 617 / 565-9860**  
Connecticut; Massachusetts; Maine; New Hampshire; Rhode Island | Alaska - 907 / 269-4957 |
| **Region 2 - 212 / 337-2378**  
New York; New Jersey | Arizona - 602 / 542-5795 |
| **Region 3 - 215 / 861-4900**  
DC; Delaware; Pennsylvania; West Virginia | California - 415 / 703-5100 *
Connecticut - 860 / 566-4380 |
| **Region 4 - 678 / 237-0400**  
Alabama; Florida; Georgia; Mississippi | Hawaii - 808 / 586-9100 *
Illinois - 217 / 782-6206 |
| **Region 5 - 312 / 353-2220**  
Illinois; Ohio; Wisconsin | Indiana - 317 / 232-2688 *
Iowa - 515 / 281-3661 |
| **Region 6 - 972 / 850-4145**  
Arkansas; Louisiana; Oklahoma; Texas | Kentucky - 502 / 564-3070 *
Maryland - 410 / 527-4465 |
| **Region 7 - 816 / 283-8745**  
Kansas; Missouri; Nebraska | Michigan - 517 / 322-1848 *
Minnesota - 651 / 284-5050 |
| **Region 8 - 720 / 264-6550**  
Colorado; Montana; North Dakota; South Dakota | Nevada - 702 / 486-9020 *
New Jersey - 609 / 984-1389 |
| **Region 9 - 415 / 625-2547**  
Idaho | New Mexico - 505 / 827-4230 *
New York - 518 / 457-2574 |
| **Region 10 - 206 / 553-5930**  
Idaho | North Carolina - 919 / 807-2875 *
Oregon - 503 / 378-3272 |

*Public Sector only

Puerto Rico - 787 / 754-2172
South Carolina - 803 / 734-9669
Tennessee - 615 / 741-2793
Utah - 801 / 530-6901
Vermont - 802 / 282-2765
Virginia - 804 / 786-6613
*Virgin Islands - 340 / 772-1315
Washington - 360 / 902-5554
Wyoming - 307 / 777-7786
Have questions?

If you need help in filling out the Log or Summary, or if you have questions about whether a case is recordable, contact us. We’ll be happy to help you. You can:

▼ Visit us online at: www.osha.gov

▼ Call your regional or state plan office. You’ll find the phone number listed on the previous page.